CATERPILLAR®

ESC ON-HIGHWAY
VEHICLE ENGINES AND TRANSMISSIONS

EXTENDED SERVICE COVERAGE FOR ON-HIGHWAY VEHICLE ENGINES AND TRANSMISSIONS

Registration Certificate For Contracts Issued In The U. S. A. and Canada
For Caterpillar New and Remanufactured On-Highway Vehicle Engines and Transmissions
(Program Effective Date January 1, 2007)

RESET FORM

CUSTOMER NAME _____ PH _____

ADDRESS _____

CITY _____ ST / PROV. _____ ZIP / PST CD _____

CATERPILLAR DEALER _____ PH _____

ADDRESS _____

CITY _____ ST / PROV. _____ ZIP / PST CD _____ DLR CODE _____

TEPS DEALER _____ PH _____

ADDRESS _____

CITY _____ ST / PROV. _____ ZIP / PST CD _____ TEPS DLR CODE _____

PROVIDER: See Section XIV. Endorsement

TYPE OF REGISTRATION

New Registration ☐

Upgrade Coverage ☐

Transfer Ownership ☐

Replacement Registration:

Engine ☐

Transmission ☐

TECI # _____

Replacement Engine S/N _____

Replacement Transmission S/N _____

Start Miles _____

Delivery Date (Installed Date For Reman Registration) _____ mm/dd/yyyy

Transfer Miles _____

Transfer Date _____ mm/dd/yyyy

Vehicle Make _____

Vehicle Model _____

APPLICATION

On-Highway Truck ☐

School Bus ☐

Recreational Vehicle ☐

Fire Truck / Crash Rescue ☐

Reman _____ ☐

Other _____ ☐

Registration Engine S/N _____

Registration Engine Sales Model _____

VIN _____

HP _____

Registration Transmission S/N _____

Registration Transmission Sales Model _____

VIN _____

COVERAGE

Note: Be sure months, miles & deductible chosen are correct for this model.

Coverage	Months	Miles	Price
ESC Plus - New Engines			
ESC Plus - Reman Engines			
Injectors			
Water Pump			
Turbocharger			

Coverage	Months	Miles	Price
Major Components Pkg			
Towing			
Brakesaver (New Engine Only)			
Transmission			
CRS / DPF			
Caterpillar Air Compressor			

COVERAGE TERRITORY

Zone I: ☐ Zone 2: ☐ See Section XV. for Zone country listing.

Deductible (Per Visit) U.S. $ _____

Time and Mileage Limitations _____ **CUSTOMER INITIALS (WA State Only)**

COVERAGE FEES

ESC Fee (TOTAL)	U.S. $	0.00
Administration Fee	U.S. $	
Late Fee	U.S. $	
Transfer Fee	U.S. $	
Sales, Applicable Taxes*	U.S. $	
TOTAL FEE	**U.S. $**	0.00

IMPORTANT!

This contract provides only those coverages with a months and miles term indicated above. Coverage options listed above may not be available for all engine models and applications. **Dealer: Refer to published price lists for currently available coverage options and applicable Zone Price.**

Limit of Liability:

The maximum amount we will pay for any single claim will be the reasonable cost to repair or replace the covered vehicle engine, not to exceed Caterpillar's list price for equivalent replacement engine.

I hereby certify that I have read and understand the terms and conditions checked above, and as specified within the number of pages indicated on the bottom of this Registration Certificate.

_____ Customer Signature

_____ (Date mm/dd/yyyy)

I hereby certify that the engine serial number (S/N) indicated above is eligible for the coverage as specified on this Registration Certificate and have read and understand the Dealer's responsibilities as specified in the ESC Program Administrative Manual.

_____ Authorized Dealer Representative

_____ Date (mm/dd/yyyy)

Distribution: Original - Customer Copy Copy - CAT / TEPS Dealer

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LEET4843-05
SUPERCEDES LEET4843-04
JANUARY 1, 2007

I. INTRODUCTION

This Extended Service Coverage, herein referred to as “Service Contract,” is designed specifically for Caterpillar's on-highway vehicle engines and is an important part of Caterpillar’s continuing effort to provide “you” with superior value and product support.

II. TERMS AND CONDITIONS

This Service Contract provides parts and labor coverage less any applicable deductible for the “cost” of a “mechanical breakdown” under normal use during the "coverage period" due to a defect in material or factory workmanship. Coverage under this Service Contract is subject to the applicable exclusions listed under Section VIII. Exclusions and Limitations.

The Registration Certificate must be completed in its entirety at the date of registration. “You” should purchase this Service Contract on the “covered engine's” original purchase date from an “authorized dealer”. Certain fees, penalties and coverage availability limits may apply for all Service Contracts requested after the original purchase date. “You” must contact an "authorized dealer" for complete details.

_____**CUSTOMER INITIALS (WA State 0nly)**

III. DEFINITIONS

“Authorized dealer” means a dealer authorized by the manufacturer to sell, service and repair the "covered engine".

“Cost(s)” means the usual and fair charges as determined by the manufacturer for parts and labor necessary to repair or replace the parts as specified in the Service Coverage Matrix. Replacement parts will be genuine manufacturer’s parts when performing repairs and may include new, remanufactured or repaired components.

"Coverage period" means the period that commences with the delivery date for new engines and the installed date for reman engines as shown on the Registration Certificate and expires when time, miles / km or service meter hours, whichever occurs first, from the delivery of the “covered engine” exceeds the coverage limits as specified on the Registration Certificate.

"Covered component" means the components listed and identified for the appropriate level of coverage on the Service Coverage Matrix form (attached to and made a part of this Service Contract).

"Covered engine" means the engine identified for this coverage as shown on the Registration Certificate and accepted by "us" for coverage.

“Cuba” means the state and government of Cuba, as well as any political subdivision, agency or government controlled business thereof.

“Cuban national” means any citizen or permanent resident of Cuba, wherever located, except Cubans permanently residing in the United States; any business organized under the laws of Cuba; any business with its principal place of business in Cuba; any business owned or controlled by a Cuban national; any business or sub-unit located in Cuba.

“Cuba,” “Iran,” and “Sudan” each mean the state and government of such country, as well as any political subdivision, agency, or government controlled business thereof, and includes persons and entities in such country.

"Mechanical breakdown" means the failure of any original or like replacement “covered component” to work as it was designed to work in normal service, provided it has received customary maintenance as recommended in the manufacturer's operation and maintenance manual.

“Repairer(s)” means a business entity “we” have authorized as a repair facility or “authorized dealer”.

“Specially Designated National” means any person appearing on the list of Specially Designated Nationals published by the U.S. Treasury Department’s Office of Foreign Assets Control (available at http://www.ustreas.gov/offices/enforcement/ofac/sdn/index.shtml).

“Warranty” means any warranty of the manufacturer or a “repairer’s” guarantee or warranty.

“We,” “us,” and “our” mean the provider issuing this Service Contract.

“You” and “your” mean the customer shown on the Registration Certificate.

_____**CUSTOMER INITIALS (WA State 0nly)**

IV. OUR RESPONSIBILITIES

"We" will pay the "cost", less any applicable deductible, to repair, replace or service the "covered engine" for a "mechanical breakdown" of a "covered component". This work will be during normal working hours at a "repairer's" place of business. “We” will provide, at “our” choice, new, remanufactured or repaired components when replacing or repairing any “covered components”. Further, “we” will also pay the components and labor charges for any Caterpillar component that is rendered unserviceable by a “covered component” failure.

“We” will restore the “covered engine” to its operating condition prior to the “mechanical breakdown” by repairing and / or replacing only the required “covered components” and consequentially damaged Caterpillar components necessary to facilitate the repair. Other parts or components removed in the process of the repair will be reinstalled as is, unless “you” authorize “your” additional expense to repair or replace.

“We” will also pay the reasonable “costs” of any expendables or consumables that are made unusable as a result of a “covered component” failure.

_____**CUSTOMER INITIALS (WA State 0nly)**

V. YOUR RESPONSIBILITIES

“You” shall operate, maintain and perform all recommended maintenance for the “covered engine” according to the guidelines and recommendations as specified in the manufacturer's operation and maintenance manual and if specified on the Service Coverage Matrix.

“You” shall utilize an “authorized dealer” for all scheduled oil sampling (S.O.S.) as specified in the manufacturer's operation and maintenance manual by submitting samples promptly with necessary sample information at the specified service intervals.

“You” shall be responsible for all “costs” not covered by this Service Contract.

_____**CUSTOMER INITIALS (WA State 0nly)**

VI. COVERAGE TERRITORY

This Service Contract is available for issuance only within the United States and Canada and provides coverage within the Coverage Territory as designated by the Zone selected on the Registration Certificate except with respect to claims the payment of which would violate U.S. law. Various U.S. laws may prohibit the payment of certain claims, including, without limitation, regulations administered by the U.S. Treasury Department's Office of Foreign Assets Control (www.ustreas.gov/offices/enforcement/ofac/), the Export Administration Regulations administered by the U.S. Commerce Department's Bureau of Industry and Security (www.bis.doc.gov) and anti-terrorism criminal statutes enforced by the U.S. Department of Justice. As dictated by the foregoing as of the effective date of this Service Contract, but without limitation of any other prohibitions currently existing or that may exist in the future, payments on this Service Contract will not be made with respect to claims that in any way involve "Specially Designated Nationals," "Burma," "Cuba," or "blocked Cuban nationals," "Iran," or "Sudan."

_____**CUSTOMER INITIALS (WA State 0nly)**

VII. CLAIM PROCEDURES

In the event of a "mechanical breakdown" of the “covered engine”, “you” shall:

1. Take all reasonable steps to protect and safeguard the “covered engine”.
2. Report the “mechanical breakdown” promptly to “us” or a "repairer".
3. Promptly make the “covered engine” available to a “repairer” for repair and examination and provide proof of this Service Contract registration by presenting the customer copy of the Registration Certificate.
4. Provide proof of compliance with the maintenance schedules as recommended in the manufacturer's operation and maintenance manual, such as receipts or copies of work orders or invoices from “repairers” or "authorized dealers" showing the maintenance and services performed. Failure to show proof may result in the denial of coverage.
5. Furnish “us” or a "repairer" with such information as may be reasonably required to assess the “mechanical breakdown”.

_____**CUSTOMER INITIALS (WA State 0nly)**

VIII. EXCLUSIONS AND LIMITATIONS

This Service Contract does not cover a “mechanical breakdown” caused by:

- Acts of God, war, vandalism, riot, theft, explosion, and any other act of nature or man.
- Operator abuse, misuse, neglect, accident, improper operation, lack of customary maintenance, failure to follow maintenance procedures and scheduled component inspections / replacements as specified in the manufacturer's operation and maintenance manual; repairs, alterations, or modifications made subsequent to the purchase by “you” of this “covered equipment” that either affects the mechanical operation as designed by the “covered equipment” manufacturer or is not done by a “repairer”.
- “Repairer” workmanship.
- Any application or installation not approved by the manufacturer.
- Wear and tear, depletion, deterioration, corrosion and erosion.
- Steel shims and cast iron block inserts.
- A non-"covered component" failure.

This Service Contract also does not pay for:

- Any non-Caterpillar part or component.
- Bolts, clamps and other fasteners except as specified on the Service Coverage Matrix.
- Normal preventive maintenance (including consumables) and scheduled component inspection / replacements as defined in the manufacturer's operation and maintenance manual.
- Performance complaints, including but not limited to, any adjustments to fuel settings, PAR tests, or programming of the electronic control module.
- Any and all “mechanical breakdowns” or failures that are covered under any “warranty” whether enforceable or not.
- Reimbursement for any travel or towing (unless purchased as a covered option and indicated on the Registration page of this contract), or overnight lodging or meals or communications expenses and any other downtime or downtime related expenses, cargo damage or economic loss that “you” may incur.
- Any and all taxes.
- Parts shipping charges and service charges.

_____**CUSTOMER INITIALS (WA State 0nly)**

IX. TRANSFER OR ASSIGNMENT OF COVERAGE

The remaining portion of this Service Contract may be transferred or assigned to subsequent owners other than an “authorized dealer” during the “coverage period” provided that (i) the subsequent owner of the “covered engine” presents a copy of the current Registration Certificate, (ii) at the time of presentment, the subsequent owner pays to us the then-applicable transfer fee, and (iii) we approve the transfer or assignment of coverage. We shall from time to time establish the amount of the transfer fee, and whatever amount is in effect at the time of transfer to the subsequent owner shall be paid by such owner.

X. TERMINATIONS AND REFUNDS

Cancellation by You. “You” may cancel this Service Contract by providing written notice to “us” of “your” intent to cancel. Cancellation will be effective on the date “we” receive the cancellation notice.

Refund and Administration Fee. If “you” cancel this Service Contract by returning it to “us” within ten (10) days of issue, “your” refund shall be the Coverage Fees less any claims paid and a $35.00 administration fee plus a ten percent (10%) penalty shall be added to the refund if not paid within thirty (30) days from the time this Service Contract was returned to “us”. If “you” cancel this Service Contract by returning it to “us” after ten (10) days from issue, the pro-rated refund will be based on the lesser of months, miles / km or hours of unused coverage provided less any claims paid and a $35.00 administration fee. No refund is available if coverage is transferred or assigned to a subsequent owner.

Cancellation by Us. “We” may cancel this Service Contract and return to “you” the pro-rated refund based on the lesser of months, miles / km or hours of unused coverage provided less any claims paid and a $35.00 administration fee for the following reasons: the coverage fee for this Service Contract has not been paid by “you”, the manufacturer’s warranty has been canceled or voided, or a substantial breach of duties by “you” relating to the “covered engine” or its use. If there is a material misrepresentation in the Service Contract registration, “we” may void this Service Contract without written notice and “you” will not be charged the Coverage Fee.

_____CUSTOMER INITIALS (WA State 0nly)

XI. DISCLAIMERS

OUR RESPONSIBILITIES AND YOUR REMEDIES UNDER THIS SERVICE CONTRACT ARE LIMITED TO THE PROVISION OF MATERIALS AND LABOR AS SPECIFIED HEREIN.

WE DISCLAIM ANY EXPRESS OR IMPLIED WARRANTIES IN CONNECTION HEREWITH INCLUDING ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

WE ARE NOT RESPONSIBLE FOR INCIDENTAL OR CONSEQUENTIAL DAMAGES.

REPLACEMENT PARTS FURNISHED UNDER THE TERMS OF THIS SERVICE CONTRACT ARE COVERED UNDER THE APPLICABLE REPLACEMENTS PARTS WARRANTY.

THIS SERVICE CONTRACT DOES NOT SUPERCEDE THE EMISSION WARRANTY FOR EMISSION RELATED COMPONENTS.

MISREPRESENTATION OF THE COVERED ENGINE'S ELIGIBILITY FOR COVERAGE, OR THE ACTUAL ACCUMULATED MILEAGE, HOURS, OR AGE SHALL RESULT IN CANCELLATION OF THIS SERVICE CONTRACT BY US. WE SHALL BE ENTITLED TO ALL OTHER REMEDIES.

XII. INTENTIONALLY LEFT BLANK

XIIA. CUSTOMER ASSISTANCE FOR ENGINE OPERATION OR FOR SERVICE WORK

FOR USA AND CANADA

For the USA and Canada, when a problem arises concerning the operation of an engine or concerning the service of an engine, the problem will normally be managed by the Caterpillar dealer in your area. “Your” satisfaction is a primary concern to Caterpillar and Caterpillar dealers. If “you” have a problem that has not been handled to “your” complete satisfaction, follow these steps:

1. **Discuss your problem with a manager from the dealership.**
2. **If your problem cannot be resolved at the Caterpillar dealer level without additional assistance, use the phone number that is listed below to talk with a Field Service Coordinator: 1-800-447-4986.**

The normal hours are from 8:00 AM to 4:30 PM Monday through Friday Central Standard Time. Please keep in mind the ultimate goal is to solve your problem at the dealership. Therefore, please follow the steps in sequence when a problem is experienced.

FOR OUTSIDE USA

If a problem arises concerning the operation of your engine or the service of your engine please contact your nearest Caterpillar Dealer or Service Representative.

XIII. ENDORSEMENTS, APPLICABLE TO U.S.A. ISSUED CONTRACTS ONLY

In Georgia: Paragraph **X. Terminations and Refunds** the subparagraphs **Refund and Administration Fee** and **Cancellation by Us** are replaced by the following:

- **Refund and Administration Fee.** If “you” cancel this Service Contract, the pro-rated refund will be based upon the lesser of months, miles/ km or hours of unused coverage provided.

- **Cancellation by Us.** We may only cancel this contract for fraud, material misrepresentation or non-payment. If “we” cancel this contract, “we” will give written notice of cancellation at least:

  a) 10 days before the effective date of cancellation if we cancel for nonpayment of coverage fees; or,

  b) 30 days before the effective date of cancellation if we cancel for any other reason.

  The pro-rated refund will be based upon the lesser of months, miles / km or hours of unused coverage provided.

In Hawaii: Paragraph **X. Termination and Refunds**, the subparagraph **Cancellation by Us** is replaced by the following:

- **Cancellation by Us.** “We” may cancel this Service Contract and return to “you” the pro-rated refund based on the lesser of months, miles / km or hours of unused coverage provided less any claims paid and a $35.00 administration fee without notice for nonpayment of the Coverage Fee, material misrepresentation or substantial breach of “your” duties relating to “covered equipment” and with five (5) days prior written notice of cancellation that states the effective date of cancellation for any other reason.

In Hawaii and Wyoming: Paragraph **X. Termination and Refunds**, the subparagraph **Refund and Administration Fee** is replaced by the following:

- **Refund and Administration Fee.** If no claims have been made under the Service Contract delivered at the time of sale and “you” cancel this Service Contract by returning it to “us” within twenty (20) days of purchase, “your” refund shall be the Coverage Fees, or between twenty (20) and thirty (30) days of purchase, “your” refund shall be the Coverage Fees less a $25.00 administration fee plus a ten percent (10%) penalty shall be added to the refund if not paid within thirty (30) days from the time this Service Contract was returned to “us”. If “you” cancel this Service Contract by returning it to “us” after thirty (30) days from purchase, the pro-rated refund will be based on the lesser of months, miles / km or hours of unused coverage provided less any claims paid and a $25.00 administration fee. No refund is available if coverage is transferred or assigned to a subsequent owner.

In Missouri: Obligations of the provider under this service contract are backed only by the full faith and credit of the provider (issuer) and are not guaranteed under a service contract reimbursement insurance policy.

In Washington: Paragraph **X. Termination and Refunds**, the subparagraph **Refund and Administration Fee and Cancellation By Us are** replaced by the following:

- **Refund and Administration Fee.** If “you” cancel this Service Contract by returning it to “us” within nine (9) days of purchase, “your” refund shall be the purchase price of this Service Contract, plus a ten percent (10%) penalty shall be added to the refund if not paid within thirty (30) days from the time this Service Contract was returned to “us”. If “you” cancel this Service Contract by returning it to “us” after nine (9) days from purchase, the pro-rated refund will be based on the lesser of months, miles / km or hours of unused coverage provided less any claims paid and a $25.00 administration fee. No refund is available if coverage is transferred or assigned to a subsequent owner.
- **Cancellation by Us.** “We” may cancel this Service Contract and return to “you” the pro-rated refund based on the lesser of months, miles / km or hours of unused coverage provided less any claims paid and a $25.00 administration fee for the following reasons: the coverage fee for this Service Contract has not been paid by “you”, the manufacturer’s warranty has been canceled or voided, or a substantial breach of duties by “you” relating to the “covered engine” or its use. If there is a material misrepresentation in the Service Contract registration, “we” may void this Service Contract without written notice and “you” will not be charged the Coverage Fee.

_____**CUSTOMER INITIALS (WA State 0nly)**

In Washington: In Paragraph **XI. Disclaimers** the implied warranty of merchantability is as follows:

The implied warranty of merchantability on the motor vehicle is not waived if the Service Contract has been purchased within ninety (90) days of the purchase date of the motor vehicle.

_____**CUSTOMER INITIALS (WA State 0nly)**

In Washington: Obligations of the Service Contract Provider under this contract are backed by the full faith and credit of the Service Contract Provider.

_____**CUSTOMER INITIALS (WA State 0nly)**

In Wisconsin: Paragraph **X. Termination and Refunds** the subparagraphs **Refund and Administrative Fee** and **Cancellation by Us** are replaced by the following:

- **Refund and Administrative Fee.** If "you" cancel this Service Contract by returning it to "us" within fifteen (15) days after "you" receive this Service Contract, "your" refund shall be the Coverage Fees less a $35.00 administration fee plus a ten percent (10%) penalty shall be added to the refund if not paid within thirty (30) days from the time this Service Contract was returned to "us". If "you" cancel this Service Contract by returning it to "us" after fifteen (15) days from the date "you" receive the Service Contract, the pro-rated refund will be based on the lesser of months, miles / km or hours of unused coverage provided less a $35.00 administration fee. If "we" cancel this Service Contract, "we" will provide "you" with written notice and "we" will not deduct any claims paid from "your" refund. All other terms and conditions apply.
- **Cancellation by Us.** "We" may cancel this Service Contract with written notice to "you" and return to "you" the pro-rated refund based on the lesser of months, miles / km or hours of unused coverage provided and a $25.00 administration fee for the following reasons: the coverage fee for this Service Contract has not been paid by "you", the manufacturer's warranty has been canceled or voided, or a substantial breach of duties by "you" relating to the "covered equipment" or its use. If there is a material misrepresentation with intent to deceive by "you" in the Service Contract Registration, "we" may void this Service Contract with written notice and "you" will not be charged the Coverage Fee.

"THIS WARRANTY IS SUBJECT TO LIMITED REGULATION BY THE OFFICE OF THE COMMISSIONER OF INSURANCE."

XIV. PROVIDER

In the United States: The Provider of this Service Contract is Caterpillar Inc.

In All Countries excluding the United States: The Provider for this Service Contract is the Caterpillar dealer for the “cost” to repair, replace or service the “covered engine”.

XV. ZONE DESIGNATIONS

ZONE 1	Lithuania
	Luxembourg
Albania	Macedonia, The Republic of
Andorra	Malta
Austria	Mexico
Belgium	Monaco
Belize	Netherlands
Bosnia and Herzegovina	Nicaragua
Bulgaria	Norway
Canada	Panama
Colombia	Poland
Costa Rica	Portugal
Croatia	Puerto Rico
Cyprus	Romania
Czech Republic	Serbia and Montenegro
Denmark	Slovakia
Dominican Republic	Slovenia
Ecuador	Spain
El Salvador	Sweden
Estonia	Switzerland
Finland	Turkey
France	United Kingdom
Germany	United States
Greece	Venezuela
Guatemala	**ZONE 2 (Includes Zone 1 Plus All Countries Below)**
Honduras	
Hungary	
Ireland	Chile
Italy	Israel
Latvia	Peru
Liechtenstein	South Africa

XVI. PRIVACY NOTICE

We do not disclose any nonpublic personal information about you or former customers to anyone, except as permitted by law.

We may collect nonpublic personal information necessary for service contract coverage to you from the following sources.

- Information that we receive from you on registrations, applications or other forms, such as your name, address, social security number, assets and income;
- Information about your transactions with us, our subsidiaries, our affiliates (received only with your express consent); and
- Information from a consumer reporting agency.

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide insurance services to you. We maintain physical, electronic and procedural safeguards that comply with federal regulations to guard your nonpublic personal information.

Our Privacy Statement is available upon request by calling 1-800-248-4228.

New and Remanufactured On-Highway Vehicle Engines
Extended Service Coverage Matrix
Effective January 1, 2007

	Major Components	ESC Plus	Maintenance Components
AIR INDUCTION & EXHAUST GROUP			
Exhaust Manifolds, Studs & Gaskets		YES	
Air Inlet Heater & Relay		YES	
Pre-Cooler (Turbocharger)		YES	
Coolant Diverter Valve or Assembly		YES	
Turbocharger (includes mounting hardware, wastegate, smart wastegate solenoid)		YES[1]	YES
Intake Manifold	YES	YES	
CYLINDER HEAD GROUP			
Cylinder Head Casting	YES	YES	
Cylinder Head Bolts, Gasket, Freeze Plug		YES	
Injector Sleeves & Sleeve Seals		YES	
Exhaust Sleeves		YES	
Intake/Exhaust Valves (includes seats, seals, spring, insert, guide, rotocoil, retainer)		YES	
Valve Mechanism (includes rocker-arm, roller followers, brackets, bridges, dowels, adjusting screws, nuts, shaft & push tubes)		YES	
Valve Cover & Base		YES	
Camshaft	YES	YES	
Camshaft Bearings, Gear, Key		YES	
Camshaft Rear Cover/Seal		YES	
Variable Valve Actuator (VVA) Assembly		YES	
LUBRICATION SYSTEM			
Oil Pan		YES	
Oil Pump		YES	
Oil Cooler Housing	YES	YES	
Oil Cooler Core, Bonnet		YES	
Oil Filter Base		YES	
HEUI High Pressure Lines, Pump & Injector Actuation Pressure Control Valve		YES	
COOLING SYSTEM			
Thermostat Housing and Cover		YES	
Water Pump (impeller, seals, gaskets)		YES[1]	YES
Water Pump Housing	YES	YES	YES
Water Manifold Housing		YES	
ELECTRONIC SYSTEM			
Control Module (ECM)	YES	YES	
Sensors (all engine sensors)		YES	
Engine Oil Rail (EOR) Valve		YES	

Important Notice:

All "Covered Components" must pass inspection or be replaced at the proper intervals as prescribed by the Operation and Maintenance Manual by an "Authorized Dealer" to qualify for continued coverage under this Service Contract. "Your" failure to follow the Operation and Maintenance Manual will result in denial of coverage.

CRS / DPF, if selected on the registration page, for a "mechanical breakdown" under normal use during the "coverage period" due to a defect in material or factory workmanship.

DPF- all as shipped components with Cat Inc. part number.

CRS includes the following "covered components":

- CRS Combustion Air Valve
- CRS Fuel Control Valve
- CRS Combustor Head / Swirl Plate
- CRS Head Temp Sensor
- CRS Spark Plug Coil
- CRS Fuel Pressure Sensors
- CRS Combustor Body
- CRS Combustor Tube

	Major Components	ESC Plus	Maintenance Components
Clean Gas Induction (CGI)			
CGI Valve		YES	
CGI Solenoid		YES	
CGI Actuator		YES	
CGI Valve Housing		YES	
FRONT AND REAR COVER GROUP			
Front Cover/Housing/Plate/Gears (and gaskets)		YES	
Subshaft (idler shaft on 3126E & C7)		YES	
Flywheel Housing	YES	YES	
Flywheel Housing Gasket		YES	
Vibration Damper		YES	
SHORT BLOCK GROUP			
Cylinder Block (casting)	YES	YES	
Freeze Plug		YES	
Crankshaft	YES	YES	
Bearings (crankshaft, main, thrust)		YES	
Connecting Rod Assembly	YES	YES	
Piston Assembly (includes wrist pin, retainer clip, rings)		YES	
Piston Cooling Jet		YES	
Cylinder Liner, Seals, Filler Band		YES	
Main Bearing Cap Bolt	YES	YES	
FUEL SYSTEM			
Unit Injectors (includes seals and hold down hardware)		YES[1]	YES
MISCELLANEOUS ITEMS			
Brakesaver (new engines only)		YES [1]	
Cat Compression Brake		YES	
Broken Nuts and Bolts attaching a covered component (torque is not covered)	YES	YES	YES

YES = Covered Component

YES [1] = Optional Coverage, additional fee applies, must be purchased in conjunction with ESC Plus.

Blank = Not Covered or Not Applicable

Listed components may not be applicable to all engine models

NOTES: Major Components, ESC Plus and Maintenance Components are individual coverage plans, each bearing its own registration fee. The three-component maintenance package may be purchased stand-alone. However coverage for each individual maintenance component may be purchased in conjunction with an ESC Plus contract.

Towing, if selected on the registration page:

This Service Contract provides reasonable or customary towing to the nearest "repairer" for a "mechanical breakdown" or reasonable travel expenses from the nearest "repairer" for a "mechanical breakdown" under normal use during the "coverage period" due to a defect in material or factory workmanship.

Transmission coverage, if selected on the registration page:

This service contract provides coverage for all as-shipped consist with a Cat Inc. part number, less consumables, for a "mechanical breakdown" under normal use during the "coverage period" due to a defect in material or factory workmanship.

Cat Air Compressor, if selected on the registration page;

Cat Air Compressor - all as shipped components with Cat Inc. part number.- excluding air governor for a "mechanical breakdown" under normal use during the "coverage period" due to a defect in material or factory workmanship.

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